

KERALA BIOTECHNOLOGY COMMISSION

File No:

Name and Address of the P.I:

Title of the Project Proposal:

INDUSTRY LINKED BIOTECHNOLOGY RESEARCH SCHEME (IBRS) TERMS AND CONDITIONS

1. Industry Linked Biotechnology Research Scheme is meant to facilitate Academic— Industry interaction. The project proposals should be result — oriented with an eye on commercializing the product or process or service that would result from it. Grants will be paid for specific projects to cover expenditure on man power, equipment, consumables, contingencies and travel.

2. The scheme is to provide financial assistance in the form of grants to Scientists and Technologists of R & D Centers/academic institutions/Organizations actively involved in biotechnological research with emphasis on areas relating to marine, agriculture, health and environment. The proposals need to be submitted with active collaboration with an industry in which the industrial partner is willing to share minimum of 10% of the financial requirements. Applications must be endorsed and forwarded by the Head of the institution.

3. The assistance for the project will be for a maximum period of three years. Sanction will be given for the full period of investigation, but the funds will be released originally for only the first year and subsequently every year subject to satisfactory completion of the work and submission of UC & SE. Audited Utilization Certificate (UC) and Statement of Expenditure (SE) by Local fund audit or Finance Head of the Govt. institution/Universities countersigned by Head of the Institution and Chartered Accountant for affiliated colleges/NGO' s countersigned by Head of the Institution should be submitted on an year to year basis.

4. The Maximum grant for a project shall be Rs. 25 lakhs (Rupees Twenty five Lakhs Only) for three years excluding overhead cost. Grants will be paid for specific projects to cover expenditure on various heads like man power (Project Fellow @Rs. 22,000/- p.m. or technical assistant@ Rs.19, 000/-p.m.), acquisition of equipment, consumables, travel, contingency. In addition, the host institution will be eligible for an overhead @ 10% of total expenditure subject to a ceiling of Rs. 2 lakh. For the industrial collaborator who is willing to share part of the expenses, a clear statement to this effect needs to be submitted along with the endorsement from the Head of the Organization and should clearly mention the extend of financial support and breaking of budget. However the quantum of funding shall be subject to recommendations of expert committee and approval of the Council. Service Tax, VAT, annual maintenance, Contract (AMC), etc. should be included in the budget during project submission and the expenditure has to be met from the project heads itself.

5. Reallocation of funds within the total outlay and extension to the project period may be approved based on the specific recommendation of the expert committee. However re-appropriation from manpower and equipments will not be normally allowed. The PI should present the request for re-appropriation and extension before the expert committee for approval. Extension of duration of project beyond 6 months will not be granted normally.

6. The amount of grant shall be utilized for the purpose for which it is granted within a period of one year from the date of receipt of the amount or Council order date, whichever is convenient to the applicant, and the same should be intimated in writing to KSCSTE.

7. For permanent, semi-permanent assets acquired solely or mainly out of the project grants, an audited record in the form of a register shall be maintained by the Institute. The grant shall not be utilized for construction of any building unless specific provision is made for that purpose. Full infrastructural facilities by way of accommodation, water, electricity, communication etc. for smooth implementation of the project shall be given by the Institute.

8. All the assets acquired from the grant will be the property of KBC/KSCSTE and should not be disposed off or encumbered or utilized for purpose other than those for which the grant had been sanctioned, without the prior approval of the Council.

9. At the conclusion/ termination of the project, the Council has the discretion to gift the assets to the Institute or transfer them to any other Institute if it is considered appropriate.

10. The Institute/ PI will furnish Annual Progress Report of the work on the project on an yearly basis (i. e. if the date of start of a project is 01.01.2019 the first Annual Technical Progress report shall be for the period 01.01.2019 to 31.12.2020, the next will be from 01.01.2020 to 31.12.2021 and so on). In addition, the Council may designate Scientist/ Specialist or an Expert Panel to visit the Institute periodically to review the progress of the work being carried out and to suggest suitable measures to ensure realization of the objectives of the project. During the implementation of the project the Institute will provide all facilities to the visiting scientist/ specialist or the Expert Panel by way of accommodation etc. at the time of their visit. On completion of the project, final consolidated Project Completion Report on the work done in the project should be sent to the Council.

11. At the time of seeking further instalment of grant, the Institute/ PI has to furnish the following documents within 3 months of completion of the project period:

- a) Audited Utilization Certificate (UC) and Statement of Expenditure (SE) for the previous project year (in original or copy if sent earlier)
- b) Latest authenticated Statement of Expenditure including Committed Expenditure, for the year till the previous month.
- c) Technical Annual Progress Report (in detail).

12. The unutilized portion of the grant amount at the end of the project period shall be refunded to Council forthwith by DD in favour of Member Secretary, KSCSTE. In the case of project duration exceeding one year, the amount need not be refunded at the end of first or second year as the case may be, but the amount may be automatically carried forward, unless otherwise it is specified.

13. If for any reason, it is found that the recipient has not utilized the grant for the purpose for which it was sanctioned, he/she shall be disqualified for getting any further grants and steps shall be taken against such person/persons for realizing the amount of grant. The Utilization Certificate and Statement of Expenditure (UC & SE) should be countersigned by the HOI to the effect that the grant has been utilized on the research items for which it was sanctioned. In the case of three-year projects, without the audited statement for the first year, the second installment shall not be released. In all cases, the final expenditure statement (audited) and research report

as well as utilization certificate shall be insisted to be submitted within three months of completion of the project.

14. The institute will maintain separate audited accounts for the project. If it is found expedient to keep whole grant in a bank account earning interest, the interest thus earned should be reflected in the Utilization Certificate. The interest thus earned will be adjusted towards further instalment of grant. In the case of Government Institutions/Universities, the interest accrued can be waived.

15. The institute will not entrust the implementation of the work for which the grant is being sanctioned to another institution nor will it divert the grant receipts to other institute as assistance. In case the Institute is not in a position to implement or complete the project, it should, forthwith, refund to the Council the entire grant received by it or the balance grant with it.

16. All the personnel including Research personnel appointed under the project, for the full/ part duration of the project, are to be treated as temporary employees and will be governed by the Administrative rules/ service conditions (for leave, TA/DA etc) of the implementing Institute. They are not to be treated as employees of the KSCSTE and the Council will have no liability, whatsoever, for the project staff after completion of the project duration.

17. For the expeditious implementation of the research project, the PI will take the assistance of the Institute in the process of selection and appointment of staff and payment to them. Scale and emoluments for the posts not covered under Council's order are governed by norms prevalent in the implementing Institution or as may be decided in consultation with Council.

18. The Council reserves the right to terminate the project at any stage if it is convinced that the grant has not been properly utilized or appropriate progress is not being made. The PI is liable to refund the Grant Amount in part or in full in case of unsatisfactory implementation of the project or unwillingness to co-operate with the council for monitoring/evaluating the progress of the project.

19. The project becomes operative with effect from the date of receipt of Council order or the date on which the fund is received by the implementing Institution. This date should be intimated by the Institution authorities/ Principal Investigator to this Department. It will, in no case be later than one month after the receipt of the fund by the Institute.

20. If the PI to whom a grant for a project has been sanctioned wishes to leave the Institution where the project is based, the Institute/ PI will inform the same to the Council and in consultation with Council, evolve steps to ensure successful completion of the project, before relieving the PI.

21. Investigators who publish technical/ scientific papers based on the research work done under the project should acknowledge the financial assistance received from the Kerala Biotechnology Commission/KSCSTE. Investigators are also requested to publish the research papers emerging out of the project work in leading Journals with good impact factor.

22. If the results of research are to be legally protected, the results should not be published without action being taken to secure legal protection for the research results.

23. The Kerala Biotechnology Commission /Research Council may enforce additional guidelines for the operation of IBRS from time to time and the Institution/Investigators are required to observe such directions in the conduct of the research work.

24. Intellectual property rights on the inventions arising out of the project shall be dealt in accordance with rules of the Council. The know-how generated from the project will be the joint property of the Investigators and KBC/KSCSTE. Transfer of technology generated shall be done in consultation with the Council.

25. Due acknowledgement of Kerala Biotechnology Commission, Kerala State Council for Science, Technology and Environment must be given in all the publications/patents originating from the research work.

26. Projects not operational for more than one year may be treated as closed and the PI should return the money at the earliest.

We agree to the terms and conditions stated above.

Name & Signature of the Principal Investigator:

Name & Signature of the Co-Principal Investigator:

Head of Institution/Nominee with Seal:

Place:

Date:

(Office Seal)